

## **Business Continuity Plan – Client Disclosure**

### **Securian Financial Services, Inc.**

### **CRI Securities, LLC**

Pursuant to securities industry regulations, Securian Financial Services, Inc. and CRI Securities, LLC., registered broker/dealers affiliated with Minnesota Life have a business continuity plan in place to address disruptions to our normal course of business ranging in severity from a building to a regional disruption.

Customers who hold securities products such as mutual funds, equities, or variable insurance products offered by our broker/dealers should be assured that they will have access to their funds and securities through back-up systems.

Our plan includes:

- an alternate location that is ready on a 24/7 basis where business and technical associates will recover operations within 24 – 36 hours;
- a redundant environment at the recovery site that routinely tests business applications, and
- third party vendors that provide data center recovery and storage of electronic backup and paper documents to meet our recovery needs.

We review, update, and test our plan on a regular basis to mitigate all reasonable risk. Plan updates may change this disclosure. Such changes will be promptly posted on our website [www.securianfinancial.com](http://www.securianfinancial.com) and [www.CRIsecurities.com](http://www.CRIsecurities.com) and be available in writing by mail.

In the event we need to execute our business continuity plan, you can call the following telephone numbers for information:

- General number for all inquiries - 1-888-237-1838
- Minnesota Life variable annuities – 1-800-362-3141
- Minnesota Life variable adjustable life – 1-800-277-9244
- Pershing brokerage account – 1-201-413-3635